

532 Rec'd PCT/PTO 19 APR 2001

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|---|--|---|--|---|
| FORM PTO-1390<br>(REV. 11-2000)   |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>60508US0  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br>FILED HEREWITHE<br>09/830017 |
| INTERNATIONAL APPLICATION NO.<br>PCT/DE99/03389   | INTERNATIONAL FILING DATE<br>21 October 1999 |   | PRIORITY DATE CLAIMED<br>22 October 1998 |   |
| TITLE OF INVENTION<br>METHOD FOR PRODUCING ELECTRICAL ENERGY  |  |   |  |   |
| APPLICANT(S) FOR DO/EO/US<br>Alexander Luchinskiy, Gunther Werth and Yakov Shifrin  |  |   |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |  |   |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |  |   |  |   |
| <b>Items 11 to 20 below concern document(s) or information included:</b> <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> Other items or information:</li> </ol>  |  |   |  |   |

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  
FILED 09/8/001INTERNATIONAL APPLICATION NO.  
PCT/DE99/03389ATTORNEY'S DOCKET NUMBER  
60308US021.  The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 1,000.00

\$ 130.00

| CLAIMS   | NUMBER FILED | NUMBER EXTRA | RATE       | \$                        |
|--|--------------|--------------|------------|---------------------------|
| Total claims   | 6 - 20 =     | 0            | x \$18.00  | \$ 0.00                   |
| Independent claims   | 1 - 3 =      | 0            | x \$80.00  | \$ 0.00                   |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |              | + \$270.00 | \$ 270.00                 |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |              |            | <b>\$ 1,400.00</b>        |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |              |            | \$                        |
|  |              |              |            | + \$                      |
| <b>SUBTOTAL =</b>  |              |              |            | <b>\$ 700.00</b>          |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). |              |              |            | \$ 0.00                   |
| <b>TOTAL NATIONAL FEE =</b>  |              |              |            | <b>\$ 700.00</b>          |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property                                 |              |              |            | \$ 0.00                   |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              |            | <b>\$ 700.00</b>          |
|  |              |              |            | Amount to be refunded: \$ |
|  |              |              |            | charged: \$               |

- a.  A check in the amount of \$ 700.00 to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-0201. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**Coudert Brothers**  
4 Embarcadero Center, Suite 3300  
San Francisco, CA 94111

Telephone: (415) 986-1300  
Facsimile: (415) 986-0320

\_\_\_\_\_  
**SIGNATURE****John W. Carpenter**\_\_\_\_\_  
**NAME****26,447**\_\_\_\_\_  
**REGISTRATION NUMBER**

09/830017

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
AS DESIGNATED/ELECTED OFFICE (DO/EO/US)

Applicant(s): Alexander Luchinskiy  
Günther Werth  
Yakov Shifrin

International Application Serial No.: PCT/DE99/03389

International Filing Date: 21 October 1999

For: METHOD FOR PRODUCING ELECTRICAL  
ENERGY

Attorney Docket: 60308US0

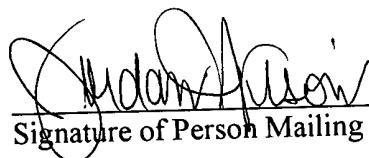
EXPRESS MAIL CERTIFICATE

- Transmittal Letter to the DO/EO/US (Form PTO-1390)
- Copy of International Application No. PCT/DE99/03389
- Copy of English language translation of PCT/DE99/03389
- Copy of International Search Report with English translation
- Copy of German language International Preliminary Examination Report
- Copy of Priority Application No. DE 198 48 852 A1
- postcard receipt
- Check for \$700.00 (Check no. 54540)

I hereby certify that this paper and the enclosures listed above are being deposited with the U.S. Postal Service "Express Mail to Addressee" Express Mail No. EL419183978US on April 19, 2001, and is addressed to the Assistant Commissioner of Patents, Box PCT, ATTN: DO/EO/US, Washington, D.C. 20231-9999

Date: April 19, 2001

Jordan Wilson  
Name of Person Mailing Paper/Fee

  
Signature of Person Mailing Paper/Fee

*patent application serial no.*

09/883,017

*Department of Commerce  
Patent and Trademark Office  
fee record*

04/24/2001 MNNGUYEN 00000043 09830017

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| 01 FC:961 | 500.00 OP |
| 02 FC:254 | 65.00 OP  |
| 03 FC:969 | 135.00 OP |